Working with Drug Court Clients at Each Stage of Change

Deborah Levesque, Ph.D. Senior Vice President Research and Product Development Email: dlevesque@prochange.com Phone: (401) 360-2975

www.prochange.com September 25, 2015



About Pro-Change

- Founded in 1997 by Dr. James Prochaska, lead developer of the Transtheoretical Model of Behavior Change (TTM)
- Develops and disseminates behavior change interventions based on the TTM
- Located in South Kingstown, RI



Presenter



Deborah Levesque, Ph.D.Senior Vice President Research and Product Development

- Has received over \$6.5 million in federal grant and contract funding to develop and test computerized interventions based on the Transtheoretical Model of Behavior Change
 - · Criminal behavior
 - · Mental health
 - Physical problems
- TTM trainer



Workshop Objectives

- Provide an overview of the stage approach and the science supporting it
- 2. Provide tools for assessing stage of change
- 3. Present evidence-based strategies for working with drug court clients at each stage of change
- 4. Assess attendees' stage of change for using a stage approach in their own work

Also, Q&A & additional resources

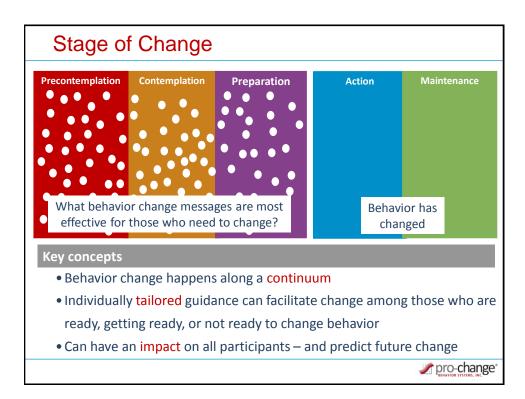


Overv	view of the TTM

Transtheoretical Model Overview

- Based on principles of behavior change developed from over 35 years of scientific research, including scores of empirical studies
 - Over \$80 million in research grants
 - Over 150,000 research participants
- Currently in use by professionals around the world
- Integrates ideas from several different theories and therapies





Stage of Change

- Index of readiness
- Tells WHEN people change
- Predicts who will change successfully
- A dynamic, not static, client characteristic
- Inclusive, empathic, optimistic
- Guides client-treatment matching





Other Key Dimensions of the TTM

The model also includes the following dimensions also central to change:

- <u>Decisional balance</u>—the pros and cons of changing
- <u>Self-efficacy</u>—confidence to make and sustain the change in challenging situations
- Processes of change—10 experiential and behavioral strategies and techniques used to change behavior



Precontemplation



No intention to change in next 6 months

- Resistant
- Uninformed
- Demoralized



Contemplation



Intend to change next 6 months

- Ambivalent
- Lack commitment
- Lack confidence

pro-change

Preparation



Intend to change in next 30 days

- Decisive/committed
- Have a plan
- May have taken small steps



Action



Have been meeting action criteria for less than 6 months

- Hard work
- Urges to slip
- Inappropriate goals?



Maintenance



Have been meeting action criteria for more than 6 months

- Increased self-efficacy
- Consolidation of gains
- Dynamic, not static



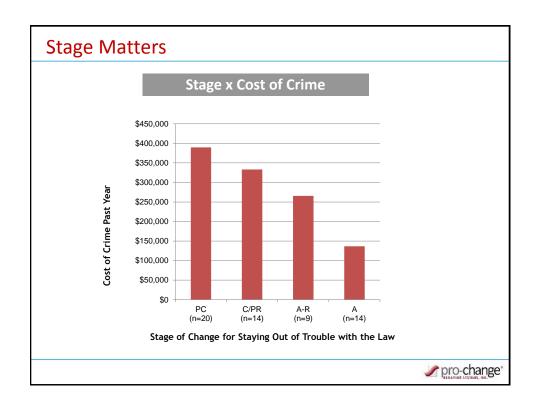
Termination

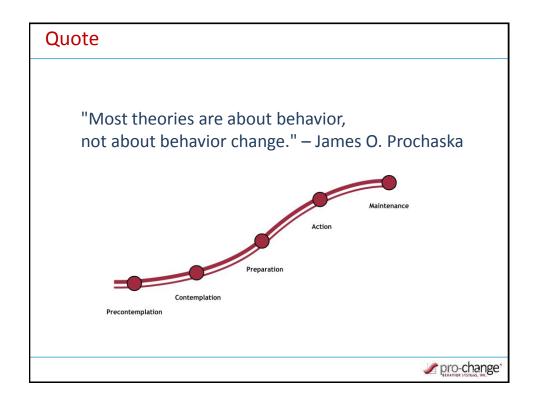


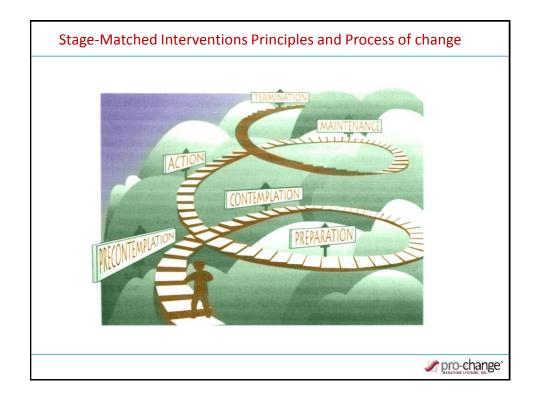
Have been meeting action criteria for more than 5 years

- 100% confidence
- Zero temptation
- Automatic habit

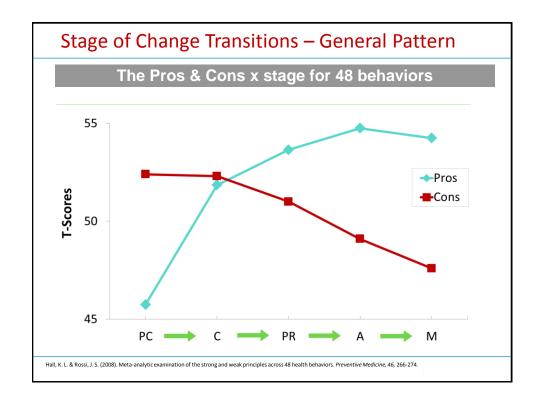
pro-change*

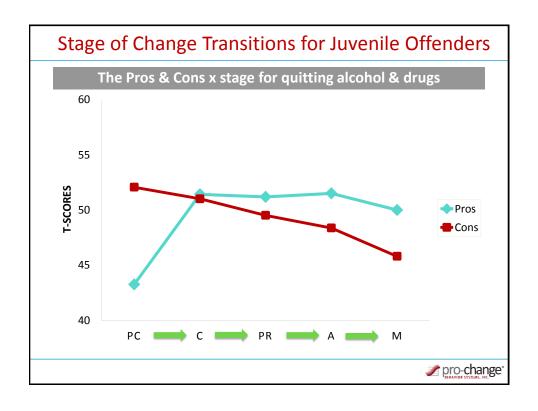


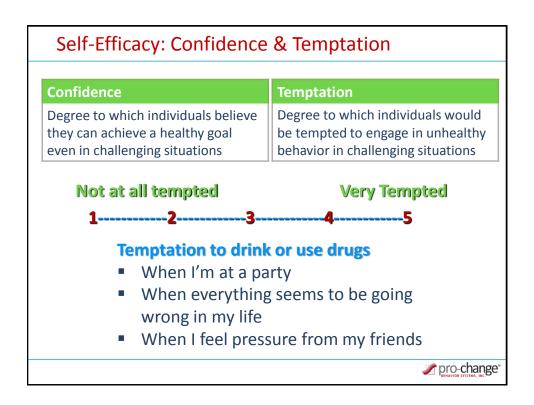


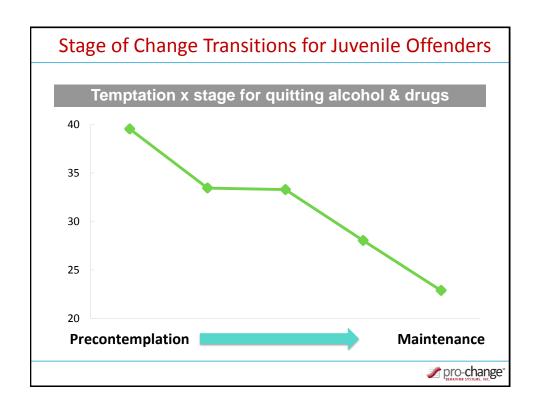


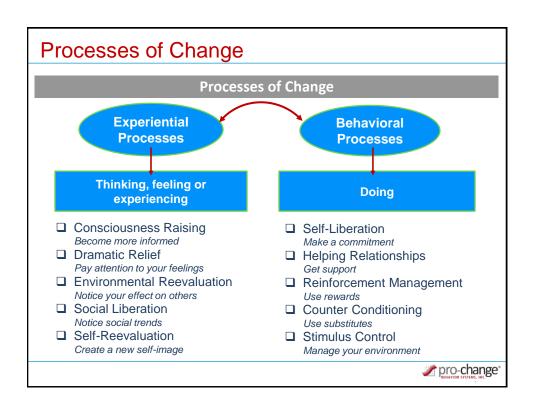
Decisional Balance: Pros & Cons Pros = Cons = **Advantages Disadvantages Of Changing Of Changing Quitting Drugs Quitting Drugs** I would be less likely to get I would have to give up into trouble activities I enjoy I could make better use of my I would have trouble forgetting my problems I would feel better about I would have withdrawal myself symptoms











Experiential Processes of Change

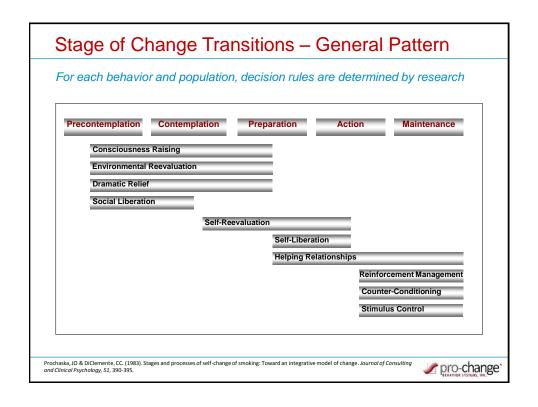
- 1) <u>Consciousness Raising</u>: Learning new facts, ideas, and tips that support the healthy behavior change
- 2) <u>Dramatic Relief</u>: Experiencing negative emotions (fear, anxiety) that go along with the old behavior or the positive emotions (e.g., inspiration) that go along with behavior change
- Environmental Reevaluation: Realizing the negative impact of one's behavior—and the positive impact of change—on others
- 4) <u>Social Liberation</u>: Realizing that social norms are changing to support the healthy behavior
- 5) <u>Self-Reevaluation</u>: Realizing that the behavioral change is an important part of one's identity

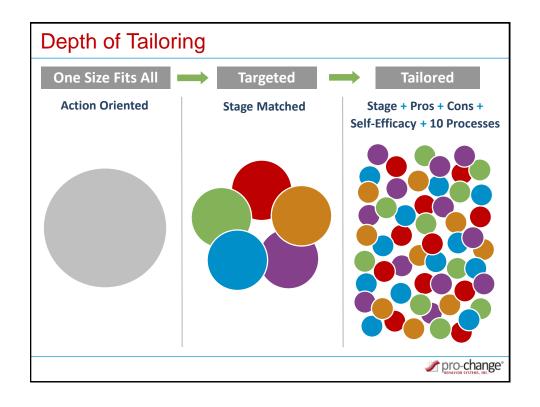


Behavioral Processes of Change

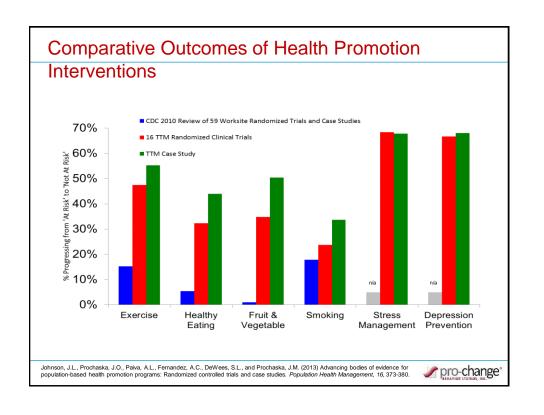
- 6) <u>Self-Liberation</u>: Believing in one's ability to change and making a commitment to change based on that belief
- 7) <u>Helping Relationships</u>: Seeking and using social support to make and sustain changes
- 8) Reinforcement Management: Increasing the intrinsic and extrinsic rewards for healthy behavior change and decreasing the rewards for old behaviors
- 9) <u>Counter-Conditioning</u>: Substituting healthy alternative behaviors and thoughts for unhealthy ones
- 10) <u>Stimulus Control</u>: Removing reminders or cues to engage in the old behaviors, and using cues to engage in the new healthy behavior







The Science Supporting the TTM Approach



Domestic Violence

- · Randomized trial involving 492 domestic violence offenders in court-mandated treatment
- Intervention condition:
 - Usual care
 - Computerized assessments at baseline & 5 mos follow-up
 - 3 computer-tailored sessions & printed reports
 - Print workbook
- Control condition:
 - Usual care
 - Computerized assessments at baseline & 5 mos follow-up
 - 2 one-size-fits all reports

Levesque, D.A., Ciavatta, M.M., Castle, P.H., Prochaska, J.M., & Prochaska, J.O. (2012). Evaluation of a stage-based, computer-tailored adjunct to usual care for domestic violence Offenders. Psychology of Violence, 2, 368-384.



Domestic Violence

Table 2 Usual Care Versus Usual Care + Journey to Change Differences in Stage of Change, Behavioral Engagement in Change, Condom Use at End of Batterer Treatment, and Batterer Program Completion

Variable	Usual care	Usual care + Journey to Change (%)	OR	95% CI	Adjusted OR ^a	95% CI
In action at follow-upb	26,4	52.1	3.030	[1.812, 5.068]****	4.114	[2.175, 7.783]****
Behavioral engagement in change ^b				[]		[=,
Talked to partner	76.4	79.0	1.165	[0.653, 2.078]	1.100	[0.594, 2.039]
Talked to friends or family	70.1	76.6	1.395	[0.806, 2.412]	1.335	[0.748, 2.382]
Talked to clergy	9.0	22.6	2.939	[1.447, 5.969]**	2.999	[1.452, 6.194]**
Talked to medical professional	25.7	39.5	1.889	[1.124, 3.175]*	1.947	[1.117, 3.392]*
Attended one-on-one counseling	34.0	40.3	1.310	[0.796, 2.155]	1.339	[0.798, 2.245]
Attended couple's counseling	11.1	20.2	2.020	[1.023, 3.988]*	2.269	[1.101, 4.677]*
Attended other group counseling	18.8	33.9	2.220	[1.268, 3.885]**	2.301	[1.287, 4.116]**
Read self-help books	27.8	56.5	3.370	[2.026, 5.607]****	3.921	[2.256, 6.814]****
Left relationship short while	41.7	41.1	0.978	[0.601, 1.593]	1.088	[0.649, 1.825]
Left relationship permanently	34.0	40.7	1.328	[0.807, 2.186]	1.440	[0.854, 2.428]
Reduced stress	92.4	98.4	5.045	[1.096, 23.219]*	4.727	[1.001, 22.318]*
Managed anger	95.1	96.0	1.216	[0.376, 3.932]	1.055	[0.307, 3.622]
Used other strategies	79.9	86.3	1.587	[0.825, 3.053]	1.388	[0.706, 2.731]
Condom use ^c	8.1	17.2	2.348	[1.074, 5.136]*	2.434	[1.079, 5.493]*
Batterer program completion ^d	68.2	68.1	0.995	[0.676, 1.464]	1.016	[0.676, 1.527]

^a Adjusted for stage of change at baseline (preaction/action), race (non-White/White), income, and age. ^b Usual care n = 144, usual care + Journey to Change n = 121. ^c Usual care n = 135, usual care + Journey to Change n = 116. ^d Usual care n = 239, usual care + Journey to Change n = 235. ^{*}p < .05. ^{**}p < .001. ****p < .0001. ****p < .0001.



Domestic Violence

Victim Reports of Partner Violence, Threats, and Emotional Abuse, and Recidivism Based on Victim Reports and Official Records

Variable	Usual care (%)	Usual care + Journey to Change (%)	OR	95% CI	Adjusted OR ^a	95% CI
		Victim reports of	of violence	e and abuse		
Violence		•				
Months 0-6 ^b	37.3	16.3	0.329	$[0.128, 0.847]^*$	0.189	[0.063, 0.567]**
Months 7-12 ^c	15.4	13.2	0.833	[0.231, 3.001]	0.866	[0.218, 3.443]
Months 0-12d	40.0	22.4	0.433	[0.194, 0.969]*	0.305	[0.123, 0.753]**
Threats						
Months 0-6	60.8	46.9	0.571	[0.258, 1.263]	0.555	[0.233, 1.322]
Months 7-12	59.0	31.6	0.321	$[0.126, 0.818]^*$	0.331	$[0.120, 0.913]^*$
Months 0-12	66.7	55.2	0.615	[0.292, 1.297]	0.593	[0.268, 1.313]
Emotional abuse						
Months 0-6	86.0	77.6	0.562	[0.198, 1.596]	0.513	[0.168, 1.567]
Months 7-12	92.1	70.3	0.203	$[0.051, 0.800]^*$	0.232	[0.054, 1.005]
Months 0-12	91.7	81.0	0.388	[0.126, 1.198]	0.304	[0.088, 1.050]
		Police	involvem	ent		
Victim reports ^d	43.3	36.2	0.742	[0.354, 1.555]	0.774	[0.344, 1.745]
Official recordse	23.4	24.2	1.045	[0.690, 1.582]	1.063	[0.683, 1.655]

^a Adjusted for stage of change at baseline (preaction/action), race (non-White/White), income, age, and program completion. ^b Usual care n=51, usual care + Journey to Change n=49. ^c Usual care n=39, usual care + Journey to Change n=38. ^d Usual care n=60, usual care + Journey to Change n=58. ^e Usual care n=248, usual care + Journey to Change n=244. * p<0.05. ** p<0.01.



Domestic Violence

Among Cases with Calls to Police, Percent with Documented Violence and Visible Phyiscal Injury

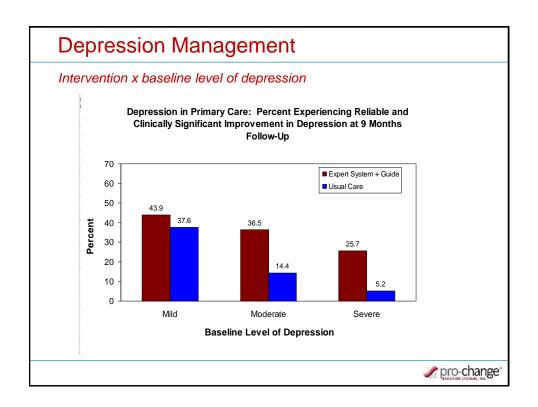
	Usual Care	Usual Care + Journey to Change	Odds Ratio (95% CI)
Documented Violence	47.5	34.6	0.585 (0.252 - 1.360)
Visible Physical Injury	42.5	26.9	0.498 (0.207 - 1.198)

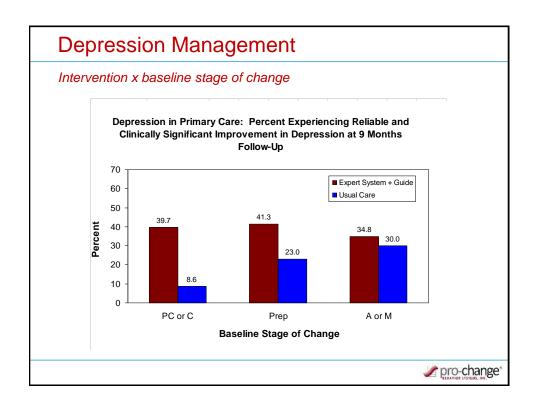


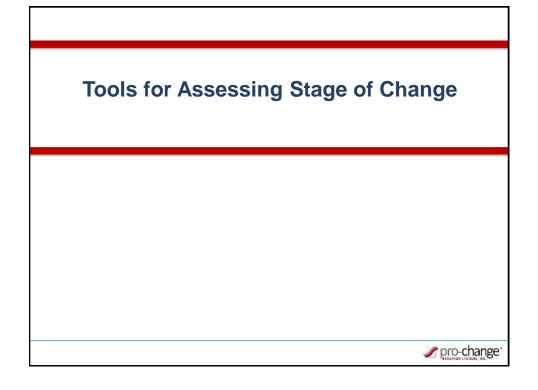
Depression Management

The treatment group was significantly more likely than control to experience a reliable and clinically significant improvement in depression (38.0% vs. 22.5%, *p*<.001).









Assessing Stage of Change – "Algorithm Method"

- Identify behavioral criterion/criteria for Action
 - Identify specific target behavior(s) associated with better health outcomes
 - Which specific behaviors? How often?

Examples:

- · Quit using all alcohol and drugs
- Attend xx treatment xx/week
- Work on education by xx
- 2. Use staging algorithm to assess stage
 - Current behaviors
 - Future intentions



Assessing Stage of Change - Algorithm Method

Not intending to in the next 6 months

Intending to in the next 6 months

Intending to in the next month

Already doing it

Been doing it for 6 months



Assessing Stage of Change – Algorithm Method

Strengths:

- Quick and easy to administer and score
- Can be adapted to different behaviors and populations
- Face validity

Weaknesses:

- Arbitrariness of temporal cutoffs
- Social desirability



Stage Yourself

Pick a behavior that you think you should change or have been encouraged to change.

- What are the Action criteria?
- What is your stage of change for meeting the Action criteria?

Not intending to in the next 6 months

Intending to in the next 6 months

Intending to in the next month

Already doing it

Been doing it for 6 months



Assessing Stage of Change - Profile Method

- Individuals can have attitudes and exhibit behaviors that characterize more than one stage at the same time
- Assesses stage using continuous measures representing the different stages
- Profiles or patterns of scores on the various measures characterize readiness to change



Assessing Stage of Change - Profile Method

University of Rhode Island Change Assessment (URICA)

- Developed by Ellie McConnaughy, a URI doctoral student, to assess psychotherapy patients' readiness to address the "problem" (unspecified) that brought them to treatment
- Four dimensions
 - Precontemplation
 - Contemplation
 - Action
 - Maintenance



Assessing Stage of Change - Profile Method

Precontemplation

As far as I'm concerned, I don't have any problems that need changing.

Contemplation

I think I might be ready for some self-improvement.

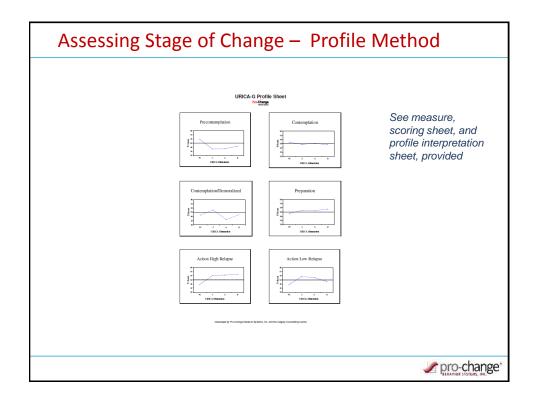
Action

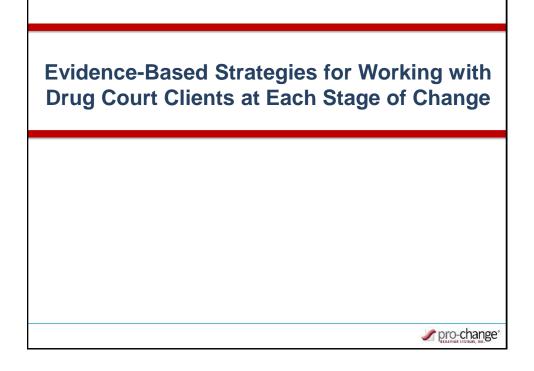
I am doing something about the problems that had been bothering me.

Maintenance

It worries me that I might slip back on a problem I have already changed, so I am here to seek help.

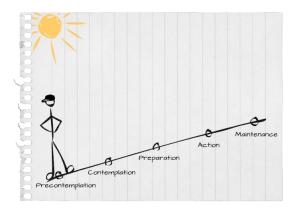






Steps

- 1) Assess and provide feedback on stage of change
- 2) Use stage-matched intervention strategies





Providing feedback on stage of change

- Use visual i.e, staging algorithm with or without graphic
- · Define stage of change
- · Possible discussion points:
 - Ask how stage feedback fits with client's view of where he/she is at.
 - · Describe how stage feedback fits with your view of where client is at.
 - Ask what it feels like to be in this stage of change.
 - If in a Pre-Action stage, ask if client was ever in the Action stage, and if so what that looked like and what happened.
 - Explain that the goal is to help client move to the next stage of change, or prevent relapse to an earlier stage.



Precontemplation

- · Goal: To engage in the change process
- · Guidelines:
 - Use less intensive interventions.
 - · Avoid lectures and confrontation (it is their change, not yours)
 - Do not encourage taking action
 - Change is progress to Contemplation



pro-change

Precontemplation – Strategy 1

Increase the Pros

- Engage clients in generating own Pros or benefits of changing
- Use motivational interviewing techniques
- Provide lists of benefits
- Encourage clients to look for additional benefits



Increase the Pros



THINK ABOUT THE REASONS:

List 10 reasons for making this change and rate how important each one is on a scale of 1 to 5 (1=not at all important, 5 = extremely important).



Precontemplation – Strategy 2

Become More Informed (Consciousness Raising)

- Provide information that can initiate the behavior change process
 - Increase awareness of behavior, causes, and healthy alternatives
 - Make observations
 - Teach about stages
- Provide resources like movies, articles, books, pamphlets, website links
- · Ask clients to look for information between contacts



Become More Informed



LEARN MORE:

Please read _____ and write down three important things you learned about your condition or unhealthy behavior.



Precontemplation – Strategy 3

Notice Your Effect on Others (Environmental Reevaluation)

- Ask clients to consider consequences of behavior on others and the environment
- Ask clients to consider which, if any, of listed consequences their family members, friends, victims have experienced
- Provide articles, books, videos that depict the effect of the problem on others



Notice Your Effect on Others



THINK ABOUT THE BENEFITS TO OTHERS:

Think about how others would benefit if you made this change. Make a list of 3 people who would benefit and how they would benefit.



Contemplation



Contemplation – Strategy 1

Become More Informed (Consciousness Raising)

- Ask clients to start or continue to raise consciousness by:
 - Asking questions
 - Looking at Antecedents (triggers) and Consequences of unhealthy Behavior (i.e., ABCs of the behavior)
- Ask clients to keep a diary about behavior(s)



Become More Informed



LEARN MORE:

To take a look at your current behavior by completing the log you were provided. Log your behavior for (amount of time).



Become More Informed



LEARN MORE:

Learn about ways in which you can achieve your behavior goal. Write down three choices and circle the one you can most see yourself doing.

62



Contemplation – Strategy 2

Consider Your Self-Image (Self-Reevaluation)

- · Encourage clients to examine self-image:
 - "How do you think and feel about yourself as someone who ?"
 - "What kind of person do you want to be?"
 - "What might it be like if you changed that behavior"
- Share testimonials from people whose self-image improved as a result of behavior change
- · Provide checklist of adjectives for client to endorse
- Listen for and reinforce comments related to selfreevaluation



Consider Your Self-Image



CONSIDER YOUR SELF-IMAGE

Write down three ways in which your self-image would change if you changed your behavior.

64



Contemplation - Strategy 3

Make the Pros Outweigh the Cons

- Acknowledge and resolve ambivalence by encouraging Contemplators to make the Pros outweigh the Cons
 - Ask clients to name most significant Cons
 - Acknowledge changing does have costs, but avoid debate about whether change is "worth it"
- · Ask clients to shrink Cons by:
 - Comparing them to growing list of Pros
 - Asking how important they are relative to Pros
 - Challenging themselves to counter the Cons or problemsolve to reduce them

65



Make the Pros Outweigh the Cons



FACE YOUR CHALLENGES

List the biggest thing that could get in your way of making this change, and three ways in which you could deal with it.



Preparation

- Goal: To encourage, excite, empower
- Guidelines:
 - Focus on developing an effective plan
 - Provide praise, support, and recognition
 - Problem solve
 - Describe how others have succeeded
 - Interventions should be short, focused, and action-oriented





Preparation – Strategy 1

Make a Commitment (Self Liberation)

- Encourage clients to make a strong commitment to change by:
 - Setting a start date, rather than waiting for a magic moment
 - Sharing commitment with others
 - Creating a specific "Action Plan"
- May be helpful to:
 - Ask clients to write down commitment, start date, and action plan
 - Role play how client will tell others
- Follow-up



Make a Commitment



MAKE AN ACTION PLAN

List behavioral change(s) to be made:

List your start date:

Describe what might get in the way:

Describe how you will handle those situations:



Preparation – Strategy 2

Get Support (Helping Relationships)

- Ask clients to identify others who can support change efforts
 - Family, friends, neighbors
 - Someone trying to make the same changes
 - Therapist, probation officer
- Encourage clients to be specific about the type and amount of support and encouragement needed
- Assist clients by:
 - Believing in them
 - Role-playing requests for support
 - Identifying additional sources of support
 - Fading support if it appears the client is becoming dependent



Get Support



GET SUPPORT:

List three people who can support you as you make these changes and how they can help. Talk to one person on this list and write down how your conversation went.



Preparation – Strategy 3

Consider Your Self-Image (Self-Reevaluation)

- Encourage clients to think about how they will think and feel about themselves after they've started making changes
 - How they will think about themselves
 - How their image of themselves will shifted
 - How their outlook will change
- Can also ask clients how others will view them as they change

Note: For the addictions, this process of change continues to be important into the Action and Maintenance stages



Consider Your Self-Image



CONSIDER YOUR SELF-IMAGE:

Imagine that it has been three months since you have changed your behavior(s). How would you see yourself?



Action

- Goal: To support action
- · Guidelines:
 - Focus on behavioral processes of change
 - Encourage active efforts to change behavior and cope with urges to slip
 - Plan ahead to prevent slips and relapses
 - Provide support during stressful and demanding times
- Communicate that maintaining changes is a marathon, not a sprint



Action



REVIEW YOUR CURRENT BEHAVIOR:

Review the behavioral change(s) you have made and list them.

75



Action - Strategy 1

Manage Your Environment (Stimulus Control)

- Encourage clients to:
 - Identify and avoid people, places, things that increase the likelihood of engaging in the unhealthy behavior(s)
 - Use reminders to engage in healthy behavior(s)
 - Restructure environment to make it easier to engage in healthy behavior(s)
- Assist clients in identifying personal situations and developing plans to take control



Manage Your Environment



MANAGE YOUR ENVIRONMENT:

- List the people, places and things that you can avoid to help you stay on track. Circle the things that you are currently avoiding to stay on track.
- List the people, places and things you can surround yourself with to help you stay on track. Circle the things that you currently surround yourself with stay on track.



Action – Strategy 2

Use Substitutes (Counter Conditioning)

- Help client plan ahead for how he or she will replace negative behaviors with positive behaviors
 - Alternative ways to fill time
 - Alternative ways to deal with stress and frustration
 - Alternative ways to have fun
- Help clients to challenge negative thoughts by substituting positive alternatives
 - Alternatives to "stinking thinking"



Use Substitutes



PLAN AHEAD:

Write down 1-2 situations that would most tempt you to return to your old behavior. List some things that you can do instead in those situations to help you stay on track. Make sure that they are things that you can really see yourself doing.



Action – Strategy 3

Use Rewards (Reinforcement Management)

- · Encourage clients to:
 - Identify healthy rewards that are reinforcing and personally meaningful
 - Rely more on self-reinforcements than social reinforcement
 - Rely on more than one type of reward:
 - Positive self-statements
 - People cheering them on
 - Noticing intrinsic rewards (feel healthier, more in control)
 - Things you buy or give self (e.g., new clothing, game)



Use Rewards



IDENTIFY REWARDS:

List the benefits that you have noticed as a result of your healthy behavior change(s).



Action – Strategy 4

Get Support (Helping Relationships)

- See Preparation stage
- · Clients may need to adjust support team over time



Get Support



GET SUPPORT:

List the people who are supporting you as you make changes, and how they are helping. Do you think you need more support? If so, what kind of support do you need and who can provide it?



Maintenance

- Goal: Relapse prevention
- Guidelines:
 - Create a plan for dealing with times of distress
 - Work to consolidate gains and increase self-efficacy
 - Create a plan for dealing with slips and lapses and achieving long term success



pro-change

Maintenance – Strategies 1 & 2

Continue to Manage Your Environment and Use Substitutes

- Majority of relapses occur at times of stress and disappointment
- Although stress and disappointment cannot be prevented, relapse can be
- Prepare clients to cope by using healthy alternatives:
 - Positive activities
 - Exercising
 - Seeking support



Maintenance - Strategies 3 & 4

Continue to Use Rewards and Get Support

See Action stage



Maintenance - Dealing with Relapse

- Many clients relapse before reaching permanent Maintenance
- Encourage clients to view setbacks/lapses as an opportunity to learn and move ahead better prepared
 - Encourage view of setback as temporary
 - Analyze slip and problem-solve about what can be done differently the next time
 - Encourage clients to maintain image of who they are working to be and image of self as someone who is succeeding in the process
 - Ask clients to reassess current stage; only a minority of clients slip all the way back to Precontemplation



Your stage of change



How ready are you to <u>use the TTM in your work</u>?

What are the Action criteria?

What is your stage of change for meeting the Action criteria?

Not intending to in the next 6 months

Intending to in the next 6 months

Intending to in the next month

Already doing it

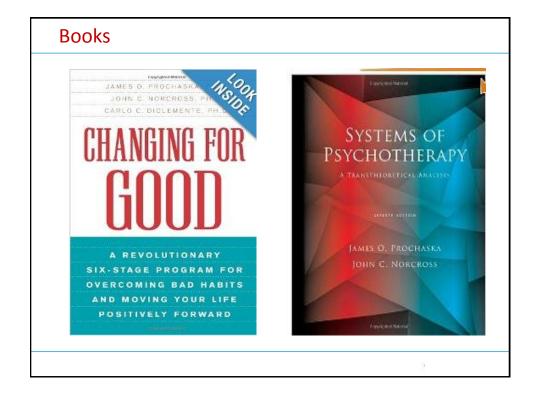
Been doing it for 6 months



Q & A



Resources Victorial Control of the Control of the



Elearning

- Four hours, entirely online, currently \$100
- Includes role-plays, knowledge checks, and a 25-item multiple choice certificate test
- Learner will be able to:
 - Understand and describe the characteristics of people in each Stage of Change.
 - Describe the four main constructs of the TTM.
 - Know which TTM principles and processes to employ at each Stage of Change.
 - List and define techniques of Motivational Interviewing (MI)

Physicians, physician assistants, psychologists, dietitians, case managers, and nurses may be eligible for CEs.

Go to http://www.prochange.com/continuing-education-information





Benefits of Computerized Interventions

- Low-cost
- Require little staff time or training to administer
- Allow a high degree of tailoring
- They can be delivered with perfect fidelity, following pre-programmed decision rules



Rise Above Your Situation (RAYS) Program

- Multimedia, computer-tailored intervention that relies on the TTM to help youth progress through the stages of change for ending criminal behavior and substance abuse
- Developed by Pro-Change with funding from the National Institute on Drug Abuse (NIDA)
- Integrates best practices from existing evidence-based programs for juvenile offenders and substance abusers, and helps youth set personal goals



Rise Above Your Situation (RAYS) Program

RAYS program includes:

- Up to six online assessments and immediate feedback for youth
- 2. Printed reports for youth
- 3. Printed helpgiver reports listing stage-matched best practices to guide one-on-on discussions of youth reports
- 4. Tools for tracking client participation in online and one-onone sessions
- 5. Tools for documenting what was discussed during one-onone sessions
- 6. Tools for automatically generating progress notes

Currently being tested in randomized trial involving 700 moderateto high-risk juvenile offenders recruited by 54 probation officers randomly assigned to treatment or control

